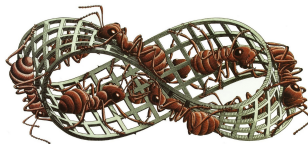


# Free-Boundary Minimal Surfaces in Convex 3-Manifolds

Davi Máximo, Ivaldo Paz Nunes, Graham Smith

IMPA, Rio de Janeiro, 30th July 2013



# Framework

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with smooth boundary.

Let  $S := (S, \partial S)$  be a compact surface with boundary.

Let  $e : S \rightarrow \Omega$  be an embedding such that  $e(\partial S) \subseteq \partial\Omega$ .

## Definition

We say that  $e$  is **free boundary minimal** whenever it is minimal and  $e(S)$  meets  $\partial\Omega$  orthogonally along  $\partial S$ .

# Existence Theorem

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

## **Theorem, (Máximo, Paz Nunes, Smith, 2013)**

If  $\Omega$  is strictly convex with smooth boundary, then there exists at least 1 free boundary minimal embedded annulus.

# The Candidate Space

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\tilde{\mathcal{E}}$  be the space of smooth embeddings  $e : S \rightarrow \Omega$  such that  $e(\partial S) \subseteq \partial\Omega$ .

Let  $\text{Diff}_+$  be the group of smooth, orientation-preserving diffeomorphisms  $\alpha : S \rightarrow S$

Let  $\mathcal{E} := \tilde{\mathcal{E}}/\text{Diff}_+$  be the quotient space.

$\mathcal{E}$  is the space of **unparametrised embeddings**.

# The Data Space

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\mathcal{G}$  be the space of metrics  $g$  over  $\Omega$  such that:

- 1)  $g$  has positive Ricci curvature; and
- 2)  $\partial\Omega$  is strictly convex with respect to  $g$ .

$\mathcal{G}$  is the **data space**, which we also call the space of **admissible metrics**

# The Solution Space

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\mathcal{Z} \subseteq \mathcal{E} \times \mathcal{G}$  be the set of all pairs  $([e], g)$  such that  $e$  is free boundary minimal with respect to  $g$ .

Let  $\Pi : \mathcal{Z} \rightarrow \mathcal{G}$  be the projection onto the second factor.

**Theorem, (Fraser, Li)**

$\Pi$  is a proper mapping.

# The Mean Curvature and Angle Functionals

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Choose  $([e], g) \in \mathcal{E} \times \mathcal{G}$ .

Let  $\Theta_{e,g} : \partial\Sigma \rightarrow \mathbb{R}$  be the function returning the angle that  $e(\Sigma)$  makes with  $\partial\Omega$  with respect to  $g$  at each point.

Let  $H_{e,g} : \Sigma \rightarrow \mathbb{R}$  be the mean curvature function of  $e(\Sigma)$  with respect to  $g$ .

$$\mathcal{Z} = \{([e], g) \mid \Theta_{e,g} = 0, H_{e,g} = 0\}.$$

# The Jacobi Operator

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

For  $([e], g) \in \mathcal{Z}$ , we define  $J = (J_h, J_\theta)$  to be the **Jacobi Operator** of  $(H, \Theta)$  at  $([e], g)$ .

$J$  defines a **Fredholm** mapping of index 0 from  $C^\infty(\Sigma)$  into  $C^\infty(\Sigma) \times C^\infty(\partial\Sigma)$

We say that  $([e], g) \in \mathcal{Z}$  is **non-degenerate** whenever  $J$  is invertible



# The Degree

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

## Theorem

There exists an open, dense subset  $\mathcal{G}_0 \subseteq \mathcal{G}$  such that, for all  $g \in \mathcal{G}_0$ :

- 1)  $\Pi^{-1}(g)$  is finite; and
- 2) every element  $[e]$  of  $\Pi^{-1}(g)$  is non-degenerate.

Moreover, there exists a constant  $k \in \mathbb{Z}$  such that for all  $g \in \mathcal{G}_0$ :

$$\text{Deg}(\Pi) := \sum_{[e] \in \Pi^{-1}(g)} (-1)^{\text{Index}([e])} = k.$$

We refer to  $\text{Deg}(\Pi)$  as the **mapping degree** of the projection  $\Pi$ .

# The Local Degree

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Choose  $g_0 \in \mathcal{G}_0$ . Let  $\Omega \subseteq \mathcal{E}$  be an open subset such that:

$$\partial\Omega \cap \Pi^{-1}(\{g_0\}) = \emptyset.$$

## Proposition

There exists a neighbourhood  $U$  of  $g_0$  such that:

$$\partial\Omega \cap \Pi^{-1}(U) = \emptyset.$$

Moreover, there exists  $k \in \mathbb{Z}$  such that for all  $g \in U \cap \mathcal{G}_0$ :

$$\text{Deg}(\Pi|_{\Omega}) := \sum_{[e] \in \Pi^{-1}(g) \cap \Omega} (-1)^{\text{Index}([e])} = k.$$

We refer to  $\text{Deg}(\Pi|_{\Omega})$  as the **local mapping degree** of  $\Pi$  in  $\Omega$ .

# Extremal Annuli

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\Omega$  be the unit ball in  $\mathbb{R}^3$ .

Let  $g_0$  be the Euclidean metric.

$\Pi^{-1}(\{g_0\})$  contains the family  $Z_1$  consisting of extremal catenoids.

$Z_1$  is diffeomorphic to two disjoint copies of  $\mathbb{RP}^2$ .

Moreover,  $Z_1$  is isolated in  $\Pi^{-1}(\{g_0\})$ .

# Local Degrees

Free-Boundary  
Minimal  
Surfaces in  
Convex  
3-Manifolds

Davi Máximo,  
Ivaldo Paz  
Nunes,  
Graham Smith

Let  $\Omega_1, \Omega_2 \subseteq \mathcal{E}$  be such that:

1)  $\Pi^{-1}(g_0) \cap \Omega_1 = Z_1$ ;

2)  $\Pi^{-1}(g_0) \subseteq \Omega_1 \cap \Omega_2$ ; and

3)  $\Omega_1 \cap \Omega_2 = \emptyset$ .

$$\text{Deg}(\Pi|_{\Omega_1}) = \chi(Z_1) = 2.$$

$$\text{Deg}(\Pi|_{\Omega_2}) = \chi(Z_1) = 0.$$